

Q2 | 2021

## Ethos Funds

### General meetings of companies outside Switzerland

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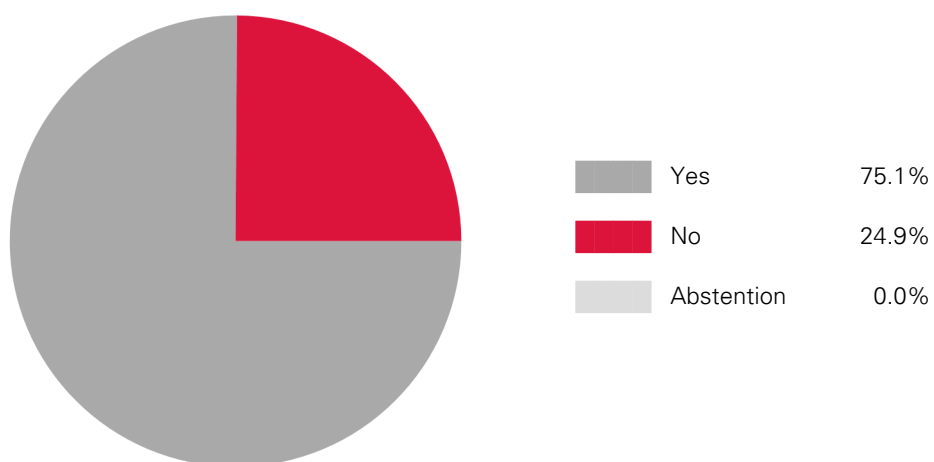
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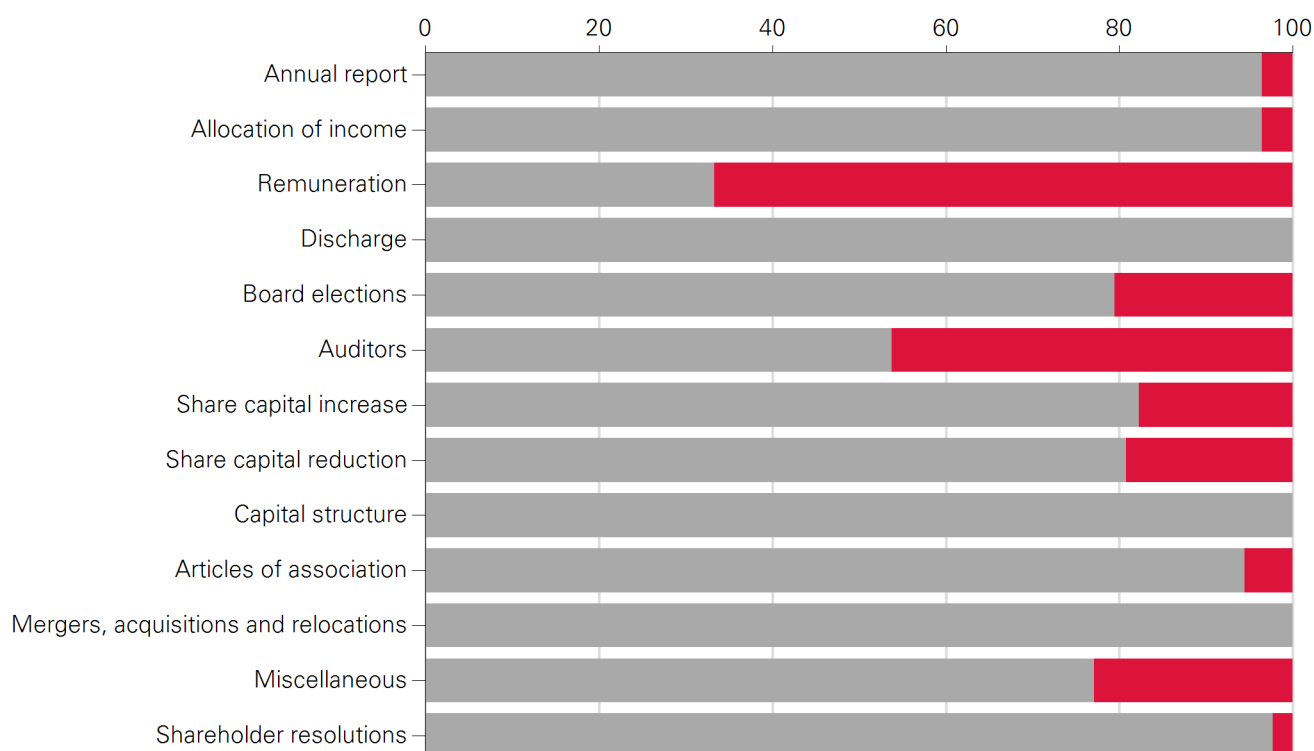
## 1 Overview of the proxy analyses

Type of General Meeting	Number of meetings	Number of Proposals			
		Total	Yes	No	Abstention
Annual general meetings	72	1072	808	264	0
Extraordinary general meetings	2	7	4	3	0
Annual and extraordinary general meetings	6	134	99	35	0
<b>Total</b>	<b>80</b>	<b>1213</b>	<b>911</b>	<b>302</b>	<b>0</b>

### 1.1 Ethos voting positions



## 1.2 Ethos voting positions per category of proposal



	■ Proposals approved		■ Proposals refused		■ Abstain		Number of proposals
Annual report	27	96,4%	1	3,6%	0	0,0%	28
Allocation of income	27	96,4%	1	3,6%	0	0,0%	28
Remuneration	48	33,3%	96	66,7%	0	0,0%	144
Discharge	43	100,0%	0	0,0%	0	0,0%	43
Board elections	556	79,4%	144	20,6%	0	0,0%	700
Auditors	36	53,7%	31	46,3%	0	0,0%	67
Share capital increase	51	82,3%	11	17,7%	0	0,0%	62
Share capital reduction	21	80,8%	5	19,2%	0	0,0%	26
Capital structure	2	100,0%	0	0,0%	0	0,0%	2
Articles of association	17	94,4%	1	5,6%	0	0,0%	18
Mergers, acquisitions and relocations	3	100,0%	0	0,0%	0	0,0%	3
Miscellaneous	37	77,1%	11	22,9%	0	0,0%	48
Shareholder resolutions	42	97,7%	1	2,3%	0	0,0%	43

## 2 Overview of the voting recommendations

### Type of General Meeting (Type)

- AGM Annual general meetings
- EGM Extraordinary general meetings
- MIX Annual and extraordinary general meetings

### Votings

- ✓ For
- Partly for
- ✗ Oppose
- ✗ Abstain

Company	Date	Type	Annual report	Allocation of income	Remuneration	Discharge	Board elections	Auditors	Share capital increase	Share capital reduction	Capital structure	Articles of association	Mergers, acquisitions and relocations	Miscellaneous	Shareholder resolutions
3M Company	11.05.2021	AGM			✗		○	✗							✓
Adobe	20.04.2021	AGM			✗		○	✗							
AFLAC	03.05.2021	AGM			✗		○	✗							
Allianz	05.05.2021	AGM		✓	✗	✓						✓			
Assicurazioni Generali	29.04.2021	MIX	✓	✓	○				✓	✓	✓			✗	
Atlas Copco	27.04.2021	AGM	✓	✓	○	✓	○	✓						✓	
Atos	12.05.2021	MIX	○	✓	○		✓		✓	✓		✓		✓	
Autodesk	16.06.2021	AGM			✗		○	✗							
Avery Dennison	22.04.2021	AGM			✗		○	✗							
Baker Hughes	14.05.2021	AGM			○		○	✓							
Bank of New York Mellon Corp.	13.04.2021	AGM			✗		○	✓							✓
Best Buy	16.06.2021	AGM			✓		✓	✓							✓
Carrefour	21.05.2021	AGM	✓	✓	○		○	○	○	✓				✓	
Charles Schwab Corp.	13.05.2021	AGM			✗		○	✗							✓
Citrix Systems	04.06.2021	AGM			✗		✓	✗							✓
CME Group	05.05.2021	AGM			✗		○	✓							
CVS Health	13.05.2021	AGM			✗		✓	✓							○
Daiwa House Industry	29.06.2021	AGM		✓	✓		○							✓	
Dell Technologies	22.06.2021	AGM			✗		○	✗							
Dollar Tree	10.06.2021	AGM			✗		○	✗							
Duke Realty	28.04.2021	AGM			✗		○	✗							
Eaton	28.04.2021	AGM			✗		○	✗	✓	✗					

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Eli Lilly	03.05.2021	AGM			✗		🟡	✗				✓		✓	✓
Fresenius SE & Co. KGaA	21.05.2021	AGM	✓	✓	✗	✓	🟡	✓							
Fujifilm Holdings	29.06.2021	AGM		✓	✗		🟡							✓	
General Motors	14.06.2021	AGM			✗		🟡	✓							✓
Gilead Sciences	12.05.2021	AGM			✗		🟡	✗							✓
Hang Seng Bank	26.05.2021	AGM	✓				🟡	✓	✓	✓		✓			
Henkel AG & Co. KGaA	16.04.2021	AGM	✓	✓	✗	✓	✓	✓				✓			
Home Depot	20.05.2021	AGM			✗		🟡	✗							✓
Honda Motor	23.06.2021	AGM					✓					✓			
Hong Kong Exchange & Clearing	28.04.2021	AGM	✓				🟡	✓	✓	✓					
HP	13.04.2021	AGM			✗		✓	✗							✓
IBM	27.04.2021	AGM			✗		🟡	✗							✓
Illinois Tool Works	07.05.2021	AGM			✗		🟡	✓							✓
Intel	13.05.2021	AGM			✗		✓	✗							✓
Intertek Group	26.05.2021	AGM	✓	✓	✗		✓	✓	✓	✓		✓		🟡	
Juniper Networks	13.05.2021	AGM			✗		🟡	✗							
Koninklijke KPN	14.04.2021	AGM	✓	✓	✓	✓	✓	✓	✓	✓					
L'Oréal	20.04.2021	MIX	✓	✓	🟡		🟡		✓	✓		✓		🟡	
Marketaxess Holdings	09.06.2021	AGM			✗		🟡	✗							
Mazda Motor	24.06.2021	AGM			✓		🟡								
NEC Corp.	22.06.2021	AGM					✓							✓	
NN Group	20.05.2021	AGM	✓	✓	✓	✓	✓		✓	✓					
Nvidia	03.06.2021	AGM			✗		🟡	✓	✗						
Ocado Group	13.05.2021	AGM	✓		🟡		🟡	✓	✓	✗				✗	
Omega Healthcare Investors	03.06.2021	AGM			✗		🟡	✗							
Omnicom Group	04.05.2021	AGM			✗		🟡	✓							✓
OMV	02.06.2021	AGM		✓	🟡	✓	✗	✓		✓					
Oriental Land	29.06.2021	AGM		✗			✗					✓			
Persimmon	28.04.2021	AGM	✓		✓		🟡	✓	✓	✗		✓		✗	
Pfizer	22.04.2021	AGM			✗		🟡	✗							✓
PPG Industries	15.04.2021	AGM			✗		🟡	✓				✓		✓	✓
Publicis Groupe	26.05.2021	MIX	✓	✓	🟡		🟡		🟡	✓		✓		✓	

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Quest Diagnostics	21.05.2021	AGM			✗		🟡	✗							✓
RELX Plc	22.04.2021	AGM	✓	✓	✗		🟡	✓	✓	✗				✗	
Ricoh	24.06.2021	AGM		✓			🟡							✓	
Robert Half International	19.05.2021	AGM			✗		🟡	✓							
S&P Global	05.05.2021	AGM			✗		🟡	✗						✗	✓
Sanofi	30.04.2021	MIX	✓	✓	🟡		🟡		🟡	✓		✓		✓	
Schneider Electric	28.04.2021	MIX	✓	✓	🟡		🟡		🟡	✓				✓	
Seagate Technology Holdings	14.04.2021	EGM										✓	✓	✗	
SEI Investments	02.06.2021	AGM			✓		🟡	✓							
Seiko Epson	25.06.2021	AGM		✓	✓		🟡								
Sekisui House	27.04.2021	AGM		✓			✓					✓		✓	
Seven & I Holdings Co.	27.05.2021	AGM		✓			🟡							✓	
Société Générale	18.05.2021	AGM	✓	✓	🟡		🟡		✓					✓	
Subaru Corporation	23.06.2021	AGM		✓			✓							✓	
Synopsys	08.04.2021	AGM			✗		🟡	✗							✓
Target	09.06.2021	AGM			✗		🟡	✗							✓
Tesco	25.06.2021	AGM	✓	✓	✗		🟡	✓	✓	✗		✗		🟡	
Texas Instruments	22.04.2021	AGM			✗		🟡	✗							✓
Trane Technologies	03.06.2021	AGM			✗		🟡	✗	✓					✓	
Twitter	27.05.2021	AGM			✗		✓	✓				✓			✓
UCB	29.04.2021	AGM	✓		🟡	✓	✓	✓						✓	
Verizon Communications	13.05.2021	AGM			✗		🟡	✗							✓
Waters Corp	11.05.2021	AGM			✗		🟡	✗							
Western Union	14.05.2021	AGM			✗		✓	✓							✓
Xilinx	07.04.2021	EGM			✗								✓	✗	
Yum! Brands	11.05.2021	AGM			✗		🟡	✗							

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