

Q1 | 2021

Ethos Funds

General meetings of companies outside Switzerland

Content

- 1 **Overview of the proxy analyses**
 - 1.1 Ethos voting positions
 - 1.2 Ethos voting positions per category of proposal
- 2 **Overview of the voting recommendations**

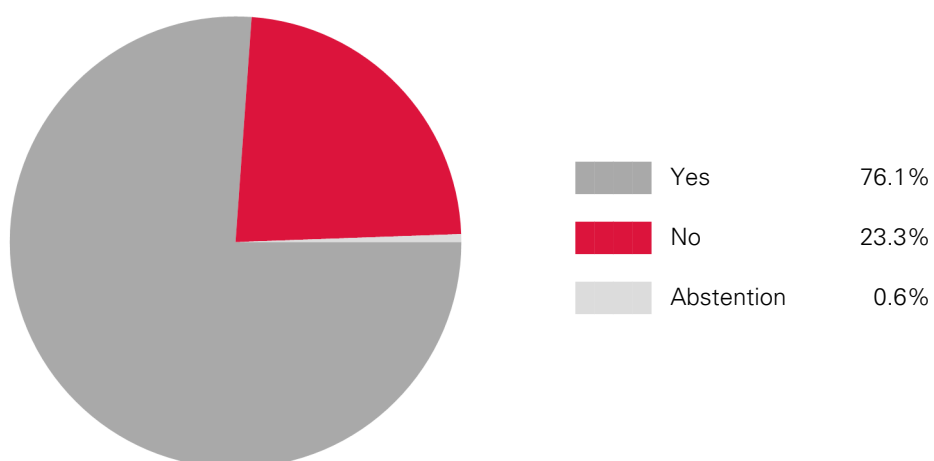
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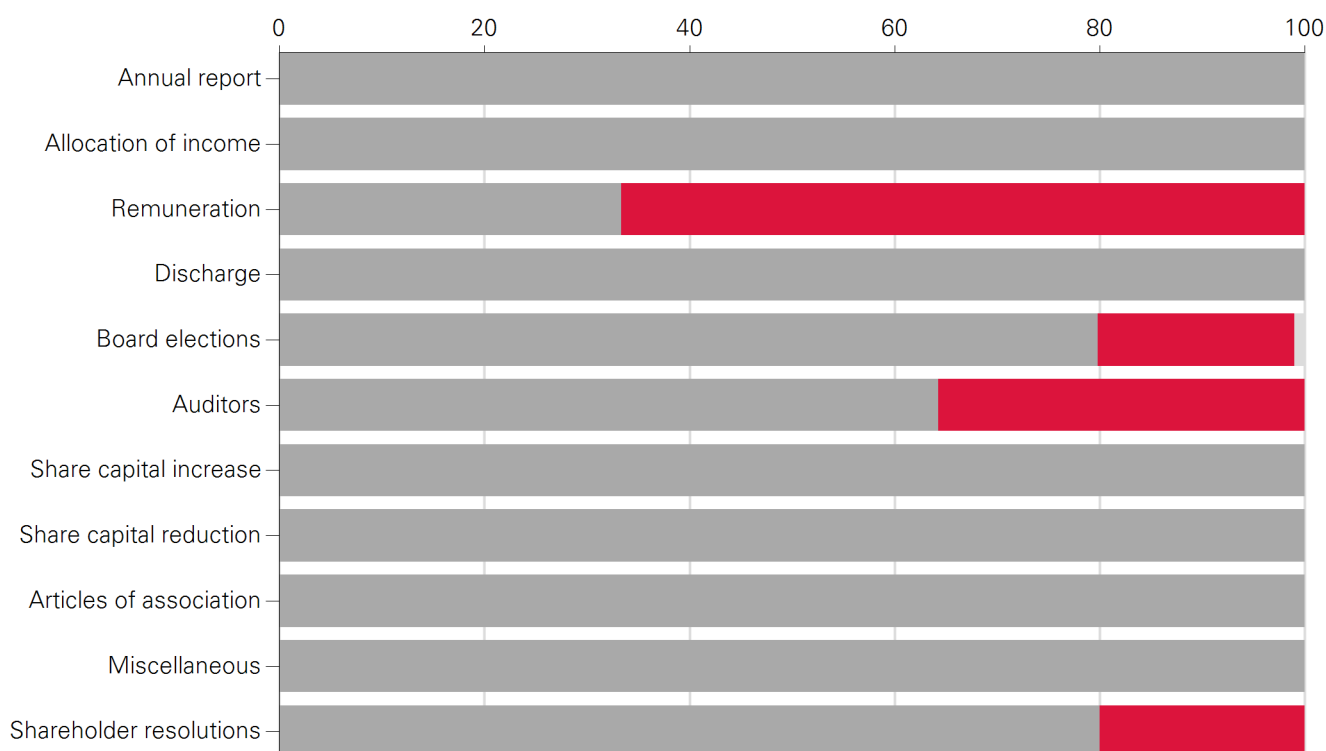
1 Overview of the proxy analyses

Type of General Meeting	Number of meetings	Number of Proposals			
		Total	Yes	No	Abstention
Annual general meetings	12	176	134	41	1

1.1 Ethos voting positions



1.2 Ethos voting positions per category of proposal



	■ Proposals approved		■ Proposals refused		■ Abstain		Number of proposals
Annual report	5	100,0%	0	0,0%	0	0,0%	5
Allocation of income	4	100,0%	0	0,0%	0	0,0%	4
Remuneration	8	33,3%	16	66,7%	0	0,0%	24
Discharge	1	100,0%	0	0,0%	0	0,0%	1
Board elections	79	79,8%	19	19,2%	1	1,0%	99
Auditors	9	64,3%	5	35,7%	0	0,0%	14
Share capital increase	6	100,0%	0	0,0%	0	0,0%	6
Share capital reduction	5	100,0%	0	0,0%	0	0,0%	5
Articles of association	6	100,0%	0	0,0%	0	0,0%	6
Miscellaneous	7	100,0%	0	0,0%	0	0,0%	7
Shareholder resolutions	4	80,0%	1	20,0%	0	0,0%	5

2 Overview of the voting recommendations

Type of General Meeting (Type)

AGM Annual general meetings

Votings

-  For
-  Partly for
-  Oppose
-  Abstain

Company	Date	Type	Annual report	Allocation of income	Remuneration	Discharge	Board elections	Auditors	Share capital increase	Share capital reduction	Articles of association	Miscellaneous	Shareholder resolutions
Accenture	03.02.2021	AGM											
Agilent Technologies	17.03.2021	AGM											
Apple	23.02.2021	AGM											
CGI Group	27.01.2021	AGM											
Costco Wholesale	21.01.2021	AGM											
DBS Group Holdings	30.03.2021	AGM											
F5 Networks	11.03.2021	AGM											
Intuit	21.01.2021	AGM											
Kone	02.03.2021	AGM											
Novo Nordisk	25.03.2021	AGM											
Sodexo	12.01.2021	AGM											
Visa	26.01.2021	AGM											

Disclaimer

Ethos issues voting recommendations in accordance with its own voting guidelines. The general meetings of companies outside Switzerland are provided by ECGS (Expert Corporate Governance Service), of which Ethos is a member since 2005. ECGS members apply the same "Corporate governance principles" that take into account the concept of corporate social responsibility. Ethos conducts a systematic verification of the voting recommendations of ECGS to ensure that all analyses and voting recommendations comply with its own voting guidelines. The information was gathered from sources available to investors and the general public, e.g. company reports, websites, eventually direct contacts with companies. Despite multiple verification the information provided cannot be guaranteed accurate. Ethos takes no responsibility on the accuracy of information provided.